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HAMRE, SCHUMANN,  
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AN INTERNATIONAL INTELLECTUAL PROPERTY LAW FIRM

FAX TRANSMISSION June 28, 2006

TO: Mail Stop: AMENDMENT  
Examiner: ORTIZ CRIADO  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

FROM: Douglas P. Mueller

OUR REF: 10873.0772US01

TELEPHONE: (612) 455.3800

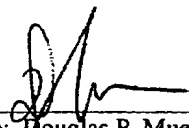
Total pages, including cover letter: 5

PTO FAX NUMBER: 571.273.8300

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Title of Document: Supplemental Information Disclosure Statement  
Form PTO 1449 and Form PTO 892Applicant: WADA et al.  
Serial No.: 09/911,143  
App. Filed: July 23, 2001  
Group Art No.: 2627  
Conf. No.: 4482

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By:   
Name: Douglas P. Mueller  
Reg. No. 30,300

I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office on the date shown below.

Antonette C. Peters

  
SignatureJune 28 2006  
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S/N 09/911,143

PATENTIN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	WADA et al.	Examiner:	ORTIZ CRIADO
Serial No.:	09/911,143	Group Art Unit:	2627
Filed:	July 23, 2001	Docket No.:	10873.0772US01
Title:	OPTICAL ELEMENT, OPTICAL HEAD, OPTICAL RECORDING/REPRODUCING APPARATUS AND OPTICAL RECORDING/REPRODUCING METHOD		

CERTIFICATE UNDER 37 CFR 1.6(d):

I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office on June 28, 2006.

By: 

Name: Antonette C. Peters

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT(37 C.F.R. § 1.97(b))

Mail Stop Amendment

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Commissioner:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner. The references cited herein were recently cited in an Office Action mailed June 16, 2006 in a co-pending US case, Serial No. 11/204,270, filed August 15, 2005.

This statement should be considered because it is submitted after the mailing date of a final action under § 1.113 or after the mailing date of a Notice of Allowance under 37 C.F.R. § 1.311; or after any other action that closes prosecution on the application, but before the payment of the issue fee. Please charge deposit account no. 50-3478 for the amount of \$180 for the fee set forth in 37 C.F.R. 1.17(p).

07/03/2006 ARCDRAF1 00000059 503478 09911143

01 FC:1806 180.00 DA

**Certification Under 37 C.F.R. §1.97(e)(1)**

In accordance with 37 C.F.R. §1.704(d), the undersigned hereby certifies that each item listed on the enclosed Form 1449 was cited in a communication from the US patent office in a co-pending application, and that this communication was not received by any individual designated in 37 C.F.R. §1.56(e) more than thirty (30) days prior to the filing of this Information Disclosure Statement.

In accordance with 37 C.F.R. §1.98(d), a copy of each document or other information listed on the enclosed Form 1449 is not provided because it was previously cited by the U.S. Patent and Trademark Office in parent application, U.S. Serial No. 11/204,270, filed on August 15, 2005. A copy of the Form PTO 892 is enclosed for the Examiner's reference.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

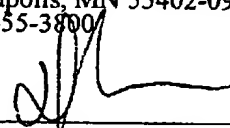
Please charge any additional fees or credit any overpayment to Deposit Account No. 50-3478.



Dated: June 28, 2006

Respectfully submitted,

HAMRE, SCHUMANN, MUELLER &  
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By:   
Douglas P. Mueller  
Reg./No. 30,300  
DPM/acp

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JUN 28 2006

Date Mailed: June 28, 2006

Sheet 1 of 1

<b>FORM 1449*</b> <b>INFORMATION DISCLOSURE STATEMENT</b>  <b>IN AN APPLICATION</b> (Use several sheets if necessary)	Docket Number: 10873.0772US01	Application Number: 09/911,143
	Applicant: WADA et al.	
	Filing Date: July 23, 2001	Group Art Unit 2627

U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	US 6,344,713	02/2002	Awaji et al.			
	US 6,359,674	03/2002	Horiuchi			

FOREIGN PATENT DOCUMENTS							
	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		

53148

PATENT TRADEMARK OFFICE

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.	

\*Substitute Disclosure Statement Form (PTO-1449)

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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<b>Notice of References Cited</b>	Application/Control No. 11/204,270	Applicant(s)/Patent Under Reexamination WADA ET AL.	
	Examiner Loha Ben	Art Unit 2873	Page 1 of 1

## U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
*	A	US-6,359,674 B1	03-2002	Horiuchi, Katsuji	349/200
*	B	US-6,344,713 B1	02-2002	Awaji et al.	313/582
	C	US-			
	D	US-			
	E	US-			
	F	US-			
	G	US-			
	H	US-			
	I	US-			
	J	US-			
	K	US-			
	L	US-			
	M	US-			

## FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
	O					
	P					
	Q					
	R					
	S					
	T					

## NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	
	V	
	W	
	X	

\* A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)  
 Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

U.S. Patent and Trademark Office  
 PTO-892 (Rev. 01-2001)

Notice of References Cited

Part of Paper No. 20060608